

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Baseman et al.

Serial No.: Divisional of 09/534,715

Group Art Unit: Not Assigned

Filed: Concurrently

Examiner: Not Assigned

For: METHOD FOR INTEGRATED SUPPLY CHAIN AND FINANCIAL  
MANAGEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.99 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Under the provisions of 37 C.F.R. 1.98(d) copies of the references are not required.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

This statement shall not be construed as a representation that a search has been made or that no other art than that identified exists.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Respectfully submitted,



Michael E. Whitham  
Reg. No. 32,635

Whitham, Curtis & Christofferson, P.C.  
11491 Sunset Hills Road, Suite 340  
Reston, VA 20190  
(703) 787-9400  
Customer Number 30743

-FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO. YO9-99-496	SERIAL NO. Unassigned
	APPLICANT: R. Baseman et al.	
	FILING DATE: Concurrently	GROUP: Unassigned

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	5,953,707	09-14-99	Huang et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

		Hodder, J. et al., "Plant Location Modeling for the Multinational Firm", in Proceedings of the Academy of International Business Conferences on the Asia-Pacific Dimension of International Business. Honolulu. 1982.
		Cohen, M.A., et al., "Resource Deployment Analysis of Global Manufacturing and Distribution Networks", Journal of Manufacturing and Operations Management. Vol. 2, pp. 81-104. 1989.
		Hodder, J., "Financial Market Approaches to Facility Location under Uncertainty", Operations Research. Vol. 32, pp. 1374-1380. 1984.
		Hodder, J., et al., "A Simple Plant-Location Model for Quantity-Setting Firms Subject to Price Uncertainty", European Journal of Operational Research; Amsterdam. Vol. 21, pp. 39-46. 1985.
		Rutenberg, D., "Maneuvering Liquid Assets in a Multi-National Company: Formulation and Deterministic Solution Procedures", Management Science. Vol. 16, No. 10, pp. 671-684. June, 1970.
		Breitman, R.L., et al., "PLANETS: A Modeling System for Business Planning", Interfaces, pp. 94-106. January-February, 1987.
		Arntzen, B.C., et al., "Global Supply Chain Management at Digital Equipment Corporation", Interfaces, pp. 69-93, January-February, 1995.
		SAP AG, "SAP's Supply Chain Management Initiative - Information, pub. date unknown, web pages from <a href="http://www.sap.com">http://www.sap.com</a>
		SAP AG, "R/3 System Financial", pub. date unknown, web pages from <a href="http://www.sap.com">http://www.sap.com</a>
		Baan Company NV, pub. date unknown, web pages from <a href="http://www2.baan.com">http://www2.baan.com</a>
		Oracle, "Oracle Applications", pub. date unknown, web pages from <a href="http://oracle.com">http://oracle.com</a>
		IBM, "New Oracle Tools Tap ERP's Potential", pub. date unknown, web pages from <a href="http://itirc.ibm.com">http://itirc.ibm.com</a>
		Author unknown, "Oracle Releases Strategic Enterprise Management", pub. date unknown, web pages from <a href="http://www.lahaaland.com">http://www.lahaaland.com</a>
		Gordon, G., "Contribution of Logistics and Supply Chain Management to Shareholder Value", San Antonio, Texas, Sematech: Nov. 1999.
		Schroeder, "Operations Management: Decision Making in the Operations Function" 4th ed., McGraw-Hill, Inc., Pgs. 662-687, 801-848, 1993
		Stevenson, Production/Operations Management (2nd ed.) Irwin, Pgs 214-236, 1986

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.